

Top Ten Financial Related Audit Findings

Based on FY2019 - FY2020 Financial Related Results

1. Lack of Documented Policies/Procedures
2. Cash Handling - Timely Deposits/Handling of Refunds
3. Separation of Duties
4. Employment – Onboarding & Offboarding
5. Fiscal Responsibility - Financial Review & Monitoring
6. Training – Cash / Merchant / Concur Approver
7. Employment - COOP & Telecommuting
8. Reconciliation - Cash, Credit Card, SOA
9. Conflict of Interest / Commitment
10. Tracking & Management of Inventory / Assets