

Top Ten Financial Related Audit Findings

Based on FY2018 - FY2019 Financial Related Results

1. Cash Handling – Receiving / Deposits / Refunds
2. Training – Cash / Merchant / Concur Approver
3. Employment – Onboarding & Offboarding / COOP
4. Reconciliation – Credit Card Sales / Cash Logs / SOA
5. Conflict of Interest / Commitment
6. Lack of Documented Policies & Procedures
7. Separation of Duties
8. Employment – Monitoring of Effort Certification
9. Financial Oversight – Management Reports
10. Tracking & Management of Inventory / Assets